



# MB TRADING

## DTC REQUEST FORM

Date: \_\_\_\_\_

MB TRADING Account Number: \_\_\_\_\_

MB TRADING Account Name: \_\_\_\_\_

Symbol/ CUSIP	Shares	Symbol/ CUSIP	Shares
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Receiving Firm DTC Number: \_\_\_\_\_

Receiving Account Number: \_\_\_\_\_

Receiving Account Name: \_\_\_\_\_

Customer Signature: \_\_\_\_\_

Customer Signature (Joint Account): \_\_\_\_\_

I agree to hold all parties acting on this request, including MB Trading and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

**\*NOTARY SIGNATURE:** \_\_\_\_\_

**NOTARY SEAL:**

\*notary required for all 3rd party transaction\*\*

**\*\*Outgoing DTC Transfers must be original. Incoming DTC Transfers may be faxed (310) 388-3115**

### INTERNAL USE ONLY

Registered Principal Approval

\_\_\_\_\_  
Print Name Title

\_\_\_\_\_  
Signature Date